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SECTOR 2
12

S&H Form: PTO/SB/17 (2/01)

RESPONSE TO NOTICE TO FILE MISSING PARTS	Attorney Docket No.	1454.1096																						
	Application Number	09/960,731																						
	Filing Date	September 24, 2001																						
	First Named Inventor	Achim Mueller																						
	Group Art Unit																							
Title:	METHODS FOR SPEECH PROCESSING																							
<p>Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed _ for the above-identified application, enclosed are the following:</p> <p><input checked="" type="checkbox"/> RETURN COPY of Notice to File Missing Parts of Nonprovisional Application</p> <p><input checked="" type="checkbox"/> Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.</p> <p><input type="checkbox"/> Formal Drawings (_ Sheets; Figs _____)</p> <p><input type="checkbox"/> English-language translation of application (with Translator's Statement (pages _))</p> <p><input type="checkbox"/> Verified Statement Claiming Small Entity Status</p> <p>Enclosed is a payment of the following:</p> <table><tr><td><input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)</td><td>\$ 740.00</td></tr><tr><td><input checked="" type="checkbox"/> The additional claim(s) fee (7 claims over 20)</td><td>\$ 126.00</td></tr><tr><td><input type="checkbox"/> The additional independent claim(s) fee (claims over 3)</td><td>\$</td></tr><tr><td><input type="checkbox"/> Multiple dependent claims</td><td>\$</td></tr><tr><td><input type="checkbox"/> Petition for Extension of Time (_ -month)</td><td>\$</td></tr><tr><td><input type="checkbox"/> English language translation fee</td><td>\$</td></tr><tr><td><input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)</td><td>\$130.00</td></tr><tr><td>SUBTOTAL FEES:</td><td>\$996.00</td></tr><tr><td><input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)</td><td>.00</td></tr><tr><td>SUBTOTAL FEES:</td><td>\$996.00</td></tr><tr><td>TOTAL FEES DUE:</td><td>\$996.00</td></tr></table>			<input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$ 740.00	<input checked="" type="checkbox"/> The additional claim(s) fee (7 claims over 20)	\$ 126.00	<input type="checkbox"/> The additional independent claim(s) fee (claims over 3)	\$	<input type="checkbox"/> Multiple dependent claims	\$	<input type="checkbox"/> Petition for Extension of Time (_ -month)	\$	<input type="checkbox"/> English language translation fee	\$	<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)	\$130.00	SUBTOTAL FEES:	\$996.00	<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)	.00	SUBTOTAL FEES:	\$996.00	TOTAL FEES DUE:	\$996.00
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SUBTOTAL FEES:	\$996.00																							
TOTAL FEES DUE:	\$996.00																							

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of __, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
☐ Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Richard A. Gollhofer	Reg. No.	31,106
Signature	<i>Richard A. Gollhofer</i>	Date	11/8/01



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,731	09/24/2001	Achim Mueller	1454.1096

21171
STAAS & HALSEY LLP
700 11TH STREET, NW
SUITE 500
WASHINGTON, DC 20001

CONFIRMATION NO. 4217

FORMALITIES LETTER



OC000000006978263

Date Mailed: 10/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/03/2001 RHOLDER1 00000002 09960731

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	126.00 OP
03 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$126.
 ■ **\$126 for 7 total claims over 20.**
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 966.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

~~11/03/2001 RHOLDER1 00000002 09960731~~

~~01 FC:101~~
~~02 FC:103~~

~~740.00 OP~~
~~126.00 OP~~